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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Spielmann et al.

Serial No.: 09/545,381

EL 636 429 892 US

Filed: April 7, 2000

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Title: METHOD AND SYSTEM FOR  
MANAGING RISKS

I hereby certify that this paper and all enclosures referred to therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Group Art Unit: N/A

Name of Person Mailing: Yvonne Rafferty

Examiner: N/A

 Signature of Person Mailing

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### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with the duty of disclosure provisions of 37 C.F.R. § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor is this Information Disclosure Statement a representation that a search has been made.

Enclosures accompanying this Information Disclosure Statement are:

- (1) Form PTO-1449;
- (2) Copies of publications.

This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(b) after three months of filing the U.S. Patent application, but prior to the first Office Action received. We believe that no fee will be incurred however, the Commissioner is authorized to charge any fee required or credit any overpayment for the Information Disclosure Statement to Skadden, Arps, Slate, Meagher & Flom LLP **Deposit Account No. 19-2385**.

Date: June 13, 2001

Respectfully submitted,



Andrew F. Strobert Reg. No. 35,375  
Skadden, Arps, Slate, Meagher & Flom LLP  
Four Times Square  
New York, NY 10036  
(212) 735-3000